DOI: 10.35772/ghm.2022.01048

# Real impact of oxaliplatin in adjuvant chemotherapy for patients with stage III colon cancer based on the Multi-Institutional Registry of Large Bowel Cancer in Japan

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**Abstract:** Although fluoropyrimidine plus oxaliplatin is the standard of care for stage III colon cancer, fluoropyrimidine alone is also recommended for stage III patients in Japanese and other practice guidelines. We assessed efficacy of adjuvant fluoropyrimidine with or without oxaliplatin across a population of patients with stage III colon cancer in the Multi-Institutional Registry of Large Bowel Cancer in Japan. From the registry, we analyzed 6,834 stage III colorectal cancer patients. Approximately 70% of colorectal cancer patients received some form of chemotherapy. Of these, we analyzed those who received adjuvant chemotherapy between 2008 and 2011. Based on the TNM classification, the 5-year overall survival rates of colon and rectal cancer after the covariate adjustment by regimens of adjuvant chemotherapy were 95.7% with fluoropyrimidines and 90.6% with oxaliplatin-combined therapy at stage IIIA (Stratified log-rank P < 0.001), 86.5% and 80.8% at stage IIIB (P < 0.001), and 72.1% and 70.7% at stage IIIC (P < 0.001), respectively. Oxaliplatin did not enhance efficacy with regard to relapse-free survival as well as overall survival. Adjuvant fluoropyrimidine monotherapy and fluoropyrimidine plus oxaliplatin show comparable efficacy benefits for the treatment of stage III of Japanese colon cancer patients. This supports the use of fluoropyrimidine alone as a standard option for this patient group in Japan.

Keywords: real-world data, colorectal cancer, oxaliplatin, guideline, postoperative chemotherapy

#### Introduction

Colorectal cancer is the second leading cause of cancerrelated deaths worldwide (1). In Japan, there were 152,254 cases of newly diagnosed colorectal cancer in 2018, and 51,788 people died from colorectal cancer in 2020 in Japan (2). Postoperative adjuvant chemotherapy is given with the aim of killing any residual cancer cells to improve prognosis for cases in which R0 resection with curative intent was performed. Fluoropyrimidines and their biochemical modulation by leucovorin (LV) have been key drugs that are incorporated into treatment strategies for patients with advanced colorectal cancer at stage III and high-risk stage II (3-5). On the basis of results from the MOSAIC and XELOXA randomized trials, infused fluorouracil and LV with oxaliplatin (FOLFOX) and capecitabine with oxaliplatin (CapeOX) are widely used as standard postoperative adjuvant chemotherapies (6-8). Fluoropyrimidine plus oxaliplatin is the standard of care for stage III colon cancer but fluoropyrimidine alone is also recommended for stage III patients in Japanese and other practice guidelines (6*9*). However, prolonged peripheral neuropathy, central venous access, and cost of care are significant problems when using oxaliplatin-based therapy (8).

In Japan, clinical trials of postoperative adjuvant chemotherapy have focused mainly on fluoropyrimidinebased regimens in both colon and rectal cancers because of convenient oral delivery and efficacy of the drug. Tegafur-uracil, UFT, is a combination drug comprising tegafur, a prodrug of 5-fluorouracil (5-FU) and uracil, an inhibitor of the 5-FU-degrading enzyme dihydropyrimidine dehydrogenase, in a molar ratio of 1:4. Although there were no significant differences in relapsefree survival (RFS) and overall survival (OS) in colon cancer, a benefit was observed in rectal cancer when the adjuvant UFT group and the surgery alone group were compared (10). A significant benefit was associated with adjuvant UFT in RFS and OS in patients with RAS mutation; on the other hand, there were no differences in RFS or OS between the adjuvant UFT group and surgeryalone group among patients without RAS mutation. The RAS mutation was considered predictive with respect to the efficacy of adjuvant UFT chemotherapy

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in patients with resected stage III colorectal cancer (11). The non-inferiority of UFT/LV to 5-FU/LV as adjuvant hemotherapy for stage III colorectal cancer has been verified in both the JCOG0205 and the NSABP C-06 clinical trials (12,13). Therefore, UFT/LV has been widely adopted in Japan as standard adjuvant chemotherapy. S-1 is an oral anticancer drug that combines tegafur, a prodrug of 5-FU, with 2 modulators. The first is gimeracil, which reversibly inhibits DPD, the primary metabolizing enzyme of 5-FU, thereby extending the duration of 5-FU levels in the blood. The second is oteracil potassium, which reduces the activity and associated toxicity of 5-FU in gastrointestinal tissue (14). In the ACTS-CC trial (15-17), S-1 was not inferior to UFT/LV for stage III disease. Furthermore, S-1 plus oxaliplatin (SOX) was not superior to UFT/LV as adjuvant chemotherapy in the ACTS-CC02 trial (18-20). This latter trial focused on patients with high-risk stage III colon cancer, which is defined as N2 with any T or positive nodes around the origin of the feeding arteries. S-1 did not show non-inferiority with regard to disease-free survival (DFS) for stage III colon cancer in the JCOG0910 trial; 3-year DFS rates were 82.0% and 77.9% in the capecitabine and S-1 arms, respectively (21).

Here, we assessed the efficacy of adjuvant fluoropyrimidine with or without oxaliplatin across a population of stage III colon cancer patients that is present in the Japanese Multi-Institutional Registry of Large Bowel Cancer.

#### Methods

Patients who were diagnosed with colorectal cancer between January 1, 2008 and December 31, 2011 and were registered with the Multi-Institutional Registry of Large Bowel Cancer in Japan were enrolled in this study. Eligibility required that patients had undergone surgery for stage III colorectal cancer at a facility participating in this Registry according to the General Rules for Clinical and Pathological Studies on Cancer of the Colon, Rectum, and Anus (7th edition) (22), and UICC TNM classification (7th edition) (23).

Pearson's chi-square test was used to determine whether there were differences between proportions. Survival functions for OS and RFS were estimated by the Kaplan-Meier method, and confidence intervals (CI) were calculated based on the Greenwood formula. The confidence intervals of median survival time were calculated using the Brookmeyer-Crowley method. Adjusted survival functions for OS and RFS were calculated based on the conditional method (24). Hazard ratio (HR) and adjusted HR for OS and RFS were obtained using Cox regression models. Competing risk analysis was performed to account for the impact of death due to other diseases. Death due to other diseases was treated as a competing risk event, and adjusted sub distribution HRs were calculated using the FineGray model. Possible prognostic factors (*i.e.*, age, sex, histologic type, stage, pre-serum carcinoembryonic antigen (CEA), location, and postoperative chemotherapy) were adjusted in multivariable analyses as appropriate. The cut-off value of age was due to the definition of older age in Japan, and that of CEA was the normal upper limit value. This study was approved by the certified review boards.

A two-sided *P*-value < 0.05 was considered to be significant. R version 4.1.3 (R Foundation for Statistical Computing, Vienna, Austria) with gtsummary packge (25) was used for all statistical analyses.

## Results

Data from 26,552 patients with colorectal cancer at 67 hospitals in Japan were collected; 6,843 patients at stage III were analyzed in this study (Figure 1). Median follow-up was 74.9 months in the oxaliplatin combined therapy group and 74.7 months in the fluoropyrimidine monotherapy group. Fluoropyrimidines consisted of 5-FU/l-leucovorin (l-LV), capecitabine, UFT/LV, and S-1. The oxaliplatin-combined regimen was oxaliplatin plus 5-FU/l-LV. Others included UFT, irinotecan plus 5-FU/l-LV, capecitabine plus oxaliplatin, and S-1 plus oxaliplatin. Infusional 5-FU/l-LV/oxaliplatin combination therapy (FOLFOX) was recommended as adjuvant chemotherapy in the JSCCR guidelines 2010 (26) for the treatment of colorectal cancer and - consistent with the 2009 version (27) - FOLFOX was not reimbursed by insurance.

The 5-, 7-, and 10-year OS rates were 75.9%, 68.3%, and 62.6%, and the 3-year, 5-, 7-, and 10-year RFS rates were 68.9%, 63.0%, 58.5%, and 54.0%, respectively (Supplemental Figure S1, *https://www.globalhealthmedicine.com/site/supplementaldata.html?ID=55*). The right colon consisted of cecum, C, and ascending, A, and transverse colon, T. The left colon consisted of descending colon, D, and sigmoid colon, S. The rectum was divided into rectosigmoid, RS, rectum above the peritoneal reflection, Ra, and rectum below the peritoneal reflection, Rb, as in the General Rules for Clinical and Pathological Studies on Cancer of the Colon, Rectum, and Anus, the 7th edition by Japanese Society for Cancer of the Colon. The 5-year OS rates in right

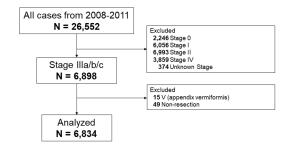


Figure 1. Flow diagram of the patient selection process.

colon (N = 1,892), left colon (N = 1,911), and rectum (N = 2,864) were 74.2%, 78.4%, and 76.3%, respectively (Figure 2).

Approximately 30% of colorectal cancer patients received some form of chemotherapy. The mean age of patients without adjuvant chemotherapy (73 years) was higher than that of those treated with Fluoropyrimidine monotherapy (64 years) and those treated with an oxaliplatin-combined regimen (61 years; Table 1). The proportions of death due to other disease were 30% in the no adjuvant chemotherapy group, 16% in the Fluoropyrimidine monotherapy group, and 10% in the oxaliplatin-combined regimen group (Supplemental Table S1, *https://www.globalhealthmedicine.com/site/supplementaldata.html?ID=55*). The RFS rates in the right colon, left colon, and rectum were 66.1%,

65.8%, and 60.1%, respectively. Postoperative adjuvant chemotherapy was effective in all locations (Table 2).

The 5-year OS rates associated with colon and rectal cancer were 90.2% and 93.1% at stage IIIa, 78.2% and 78.2% at stage IIIb, 67.0% and 60.2% at stage IIIc, respectively (Figure 3). Factors associated with a significantly worse prognosis for OS were age 65 or more, male, rectal site, poorly differentiated adenocarcinoma (por)/mucinous adenocarcinoma (muc)/signet-ring cell carcinoma (sig)/others. For RFS, the factors were male, rectal site, and serum CEA level of 5 ng/mL or more at baseline in RFS (Table 3). Patients not treated with any adjuvant chemotherapy tended to be older and generally had colon cancer. T4 or N2 patients and stage IIIc patients were treated with oxaliplatin (Table 4). The 5-year OS rates associated with colon and rectal cancer after the

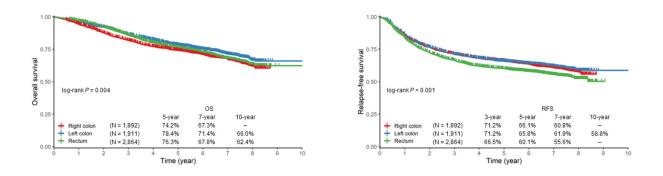
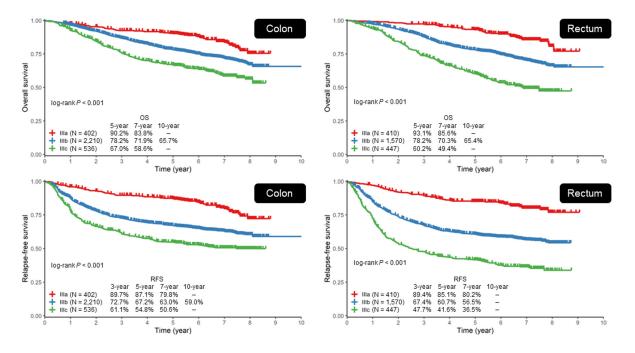


Figure 2. Overall survival (OS) and relapse-free survival (RFS) of colorectal cancer at stage III by primary lesion. Right colon; C, cecum, A, ascending, and T, transverse colon: left colon; D, descending and S, sigmoid colon: rectum; RS, rectosigmoid, Ra, rectum above the peritoneal reflection, and Rb, rectum below the peritoneal reflection.



**Figure 3. Overall survival (OS) and relapse-free survival (RFS) of colorectal cancer at stage III by Japanese classification.** Colon; C, cecum, A, ascending, and T, transverse colon, D, descending and S, sigmoid colon: rectum; RS, rectosigmoid, Ra, rectum above the peritoneal reflection, and Rb, rectum below the peritoneal reflection.

Mat         Effection         Effection <theffection< th=""> <theffec< th=""><th>Sex Male Female Age, mean (SD) Adjuvant chemotherapy</th><th>AII, N = 0,034</th><th></th><th>Right colon, <math>N = 1,892</math></th><th>Left colon, <math>N = 1,911</math></th><th>- 1,911</th><th>Rectum, <math>N = 2,864</math></th><th>2,864</th><th></th><th>Variable</th><th>ble</th><th>All,</th><th>All, <math>N = 0.834</math></th></theffec<></theffection<>	Sex Male Female Age, mean (SD) Adjuvant chemotherapy	AII, N = 0,034		Right colon, $N = 1,892$	Left colon, $N = 1,911$	- 1,911	Rectum, $N = 2,864$	2,864		Variable	ble	All,	All, $N = 0.834$
	Male Female Age, mean (SD) Adjuvant chemotherapy								Right colon				
	Female Age, mean (SD) Adjuvant chemotherapy	3,846~(56%)	892 (4	(%/1	$1,072 (56^{\circ})$	(0)	1,786 (62%)	(0)	C, cecum			4	468 (7.0%)
	Age, mean (SD) Adjuvant chemotherapy	2,988 (44%)	1,000 (5	(3%)	839 (44%	(0)	1,078 (38%	(0)	A, ascend.	ing colon		×	889 (13%)
	Adjuvant chemotherapy	67 (12)	70 (1	(1)	66 (12)		65 (12)		T, transve.	rse colon		5.	535 (8.0%)
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$									Left colon				
	No adjuvant chemotherapy	1,935(30%)	618 (3	15%)	556 (31%	(0)	717 (27%	(0)	D, descen	ding colon		2	266 (4.0%)
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	Preoperative radiotherapy	121 (1.9%)	0 (0	(%)	2 (0.15	(%)	112 (4.2%	(%	S, sigmoid	d colon		1,6	1,645 (24%)
	Postoperative radiotherapy	49(0.8%)	5 (0	1.3%)	11 (0.6	(%)	29 (1.15	(%)	Rectum				
(6,%)         1.224 (68%)         1.833 (70%)         Ra, rectum above the peritoneal reflection         0.01           (0,3%)         3 (0.2%)         1.124 (73%)         1.833 (70%)         Ra, rectum above the peritoneal reflection         1.042           (0,3%)         3 (0.2%)         1.114 (73%)         Colon/rectum         0.04         0.04           13%)         132 (13%)         251 (16%)         Colon'rectum         0.04         0.04           7.5%)         806 (17%)         Reference         0.04         0.04         0.04         0.04           7.5%)         83 (8.4%)         163 (11%)         Colon'rectum         0.04         0.05         0.04         0.05         0.04         0.05         0.04         0.05         0.04         0.05         0.04         0.05         0.04         0.05         0.04         0.05         0.04         0.04         0.05         0.04         0.04         0.04         0.05         0.04         0.05         0.04	Preoperative chemotherany	164 (2.6%)	12 (0	0.7%)	7 (0.49	(%)	135 (5.1%	(%)	RS. rectos	sigmoid junc	tion	6	908 (13%)
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	Dectonerative chemotherany	1 303 (68%)	1 139 (6	(%)	1 224 (680)		1 853 (700/		Pa rectin	right another	neritoneal reflecti		014 (14%)
(ADD) $_{3}$ (0.270) $_{1}$ (CV179) $1$ (CV179) <th< td=""><td>A model with the second strength of the second s</td><td></td><td>2) 50755</td><td>2021</td><td>100) 1777(1 00 0) C</td><td></td><td>1/0/) 0/0/1</td><td>10/)</td><td>DL rectur</td><td></td><td>periton collection.</td><td>-</td><td>()(11)(1</td></th<>	A model with the second strength of the second s		2) 50755	2021	100) 1777(1 00 0) C		1/0/) 0/0/1	10/)	DL rectur		periton collection.	-	()(11)(1
	Any adjuvant merapy	11 (0.270)	1)0	(0/ 5.1	7·0) c	(0/	1 (> 0.	.170)	D amonton	lam worag m	beritoneal reflecti	1,1	(0/01)74
www         BS (8.4%)         1.1.4 (1.5%)         Colon rectum         3.803           7.5%)         85 (8.4%)         163 (11%)         Colon         3.803           7.5%)         0S         163 (11%)         Rectum         3.803           7.5%)         0S         163 (11%)         Rectum         3.803           7.5%)         0S         163 (11%)         Rectum         3.803           0.8         0S         1.1.4         95% C1         P-value         11.8           P-value         Adj. HR*         95% C1         P-value         Adj. HR*         95% C1           Reference         0.33, 0.60         0.011         0.73         0.63, 0.97         0.75, 1.28           0.0001         0.34         0.33, 0.60         0.011         0.72         0.74, 0.59         0.75, 1.18            0.001         0.34         0.33, 0.60         0.011         0.72         0.74         0.74         0.50	rostoperative chemotherapy					,			r, proctos				00. (0.2%)
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	Fluoropyrimidine monotherapy	2,719 (76%)	18) 7.5/	(0/(	800 (79%)	(	1,124(739)	(0)	Colon/rectu	u			
arry colorectal cancer at stage III       OS       P-value     Adj. HR*       95% CI     0.33, 0.60     0.0114       0.35     0.33, 0.60     0.114       0.36     0.31, 0.60     0.34, 0.55       0.011     0.41, 1.04     0.071       0.011     0.41, 1.04     0.071       0.011     0.41     0.31       0.022     0.33, 0.56     0.34, 0.55<	Oxaliplatin combined therapy Others	514 (14%) 329 (9.2%)	120 (L: 71 (7.	5%) 5%)	132 (13% 85 (8.4%	0	251 (16% 163 (11%	00	Colon Rectum			3,8 2,8	3,803 (57%) 2.864 (43%)
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	N (%) or Mean (SD) are shown. Prim	ary region was unknow	n in107 patients.										
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	Table 2. The effect of adjuvant of	chemotherapy by lo	cation of prima	ry colorects	ıl cancer at stag	ge III							
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$					OS						RFS		
	Location/Treatment	I		<i>P</i> -value	Adj. HR*	95% CI	<i>P</i> -value	HR	95% CI	P-value	Adj. HR*	95% CI	<i>P</i> -value
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	Colon												
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	No adjuvant chemotherany		srence		Reference			Reference	J.		Reference		
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	Fluoronvrimidine monotherany		5	< 0.001	0.35	030 042	< 0.001	0.40		< 0.001	0.50	0 43 0 58	< 0.001
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	Oxalinlatin combined therapy			< 0.001	0.44	0.33, 0.60	< 0.001	0.78	0.63, 0.97	0.024	0.64	0.50, 0.83	< 0.001
$ \begin{array}{llllllllllllllllllllllllllllllllllll$	Others			0.067	0.78	0.58, 1.06	0.114	0.92	0.72, 1.19	0.533	0.98	0.75, 1.28	0.895
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	Right colon												
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	No adjuvant chemotherapy		erence		Reference			Referent	se		Reference		
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Fluoropyrimidine monotherapy			< 0.001	0.30	0.24, 0.38	< 0.001	0.42	0.34, 0.52	< 0.001	0.42	0.34, 0.52	< 0.001
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	Oxaliplatin combined therapy			< 0.001		0.27, 0.62	< 0.001	0.72	0.53, 0.98	0.034	0.63	0.44, 0.89	0.009
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	Others					0.41, 1.04	0.071	0.71	0.48, 1.06	0.092	0.78	0.51, 1.18	0.243
	Left colon												
opyrimidine monotherapy $679$ $0.41$ $0.33, 0.52$ $< 0.001$ $0.47$ $0.67$ $0.47, 0.69$ $< 0.001$ $0.67$ $0.49, 0.74$ platin combined therapy         105 $0.60$ $0.41, 0.88$ $0.008$ $0.51$ $0.32, 0.81$ $0.004$ $0.85$ $0.63, 1.16$ $0.310$ $0.69$ $0.48, 1.00$ s         73         1.00 $0.69, 1.45$ $0.995$ $0.63, 1.42$ $0.822$ $1.16$ $0.83, 1.62$ $0.311$ $1.20$ $0.84, 1.70$ s         for themotherapy         635         Reference         Reference         Reference         Reference $0.32, 0.47 < 6.001$ $0.59$ $0.51, 0.68 < 6.001$ $0.58$ $0.49, 0.68$ opyrimidine monotherapy         931 $0.38$ $0.32, 0.47 < 6.001$ $0.76$ $0.58, 0.91$ $0.06$ $0.45, 0.74$ s $0.60$ $0.43, 0.82$ $0.002$ $0.52$ $0.37, 0.74$ $<0.001$ $0.76$ $0.58, 0.99$ $0.045$ $0.49, 0.65$ s $0.60$ $0.37, 0.74$ $<0.001$	No adjuvant chemotherapy		ence		Reference			Referent	ce		Reference		
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Fluoropyrimidine monotherapy			< 0.001	0.44	0.34, 0.56	< 0.001	0.57	0.47, 0.69	< 0.001	0.60	0.49, 0.74	< 0.001
s $73$ 1.00 0.69, 1.45 0.995 0.95 0.63, 1.42 0.802 1.16 0.83, 1.62 0.381 1.20 0.84, 1.70 bijuvant chemotherapy 635 Reference Reference Reference Reference $322, 0.47 < 0.001$ 0.59 0.51, 0.68 < 0.001 0.58 0.49, 0.68 bijuvant chemotherapy 981 0.38 0.32, 0.45 < 0.001 0.38 0.32, 0.47 < 0.001 0.73 0.58, 0.91 0.006 0.58 0.45, 0.74 bijuvant chemotherapy 130 0.60 0.43, 0.82 0.022 0.37, 0.74 < 0.001 0.76 0.58, 0.99 0.042 0.65 0.49, 0.87 0.87 0.87 0.51, 0.58 0.99 0.042 0.55 0.49, 0.87 0.54, 0.87 0.51 0.58 0.45, 0.54 0.55 0.49, 0.55 0.55 0.55 0.55 0.55 0.55 0.55 0.5	Oxaliplatin combined therapy			0.008	0.51	0.32, 0.81	0.004	0.85	0.63, 1.16	0.310	0.69	0.48, 1.00	0.049
$ \begin{array}{llllllllllllllllllllllllllllllllllll$	Others				0.95	0.63, 1.42	0.802	1.16	0.83, 1.62	0.381	1.20	0.84, 1.70	0.321
$ \begin{array}{llllllllllllllllllllllllllllllllllll$	Rectum				c F			c L			ç		
yrimdine monotherapy 981 $0.38$ $0.32, 0.43 < 0.001$ $0.38$ $0.32, 0.47 < 0.001$ $0.59$ $0.51, 0.68 < 0.001$ $0.28$ $0.49, 0.68$ at in combined therapy 215 $0.48$ $0.36, 0.63 < 0.001$ $0.38$ $0.28, 0.52 < 0.001$ $0.73$ $0.58, 0.91$ $0.006$ $0.58$ $0.45, 0.74$ at in combined therapy 215 $0.60$ $0.60$ $0.43, 0.82$ $0.52$ $0.37, 0.74 < 0.001$ $0.76$ $0.58, 0.99$ $0.042$ $0.65$ $0.49, 0.87$	No adjuvant chemotherapy		renc	100 0	kerence		100 0	kererent			kererence	0,00	100.01
atin combined therapy 2.15 0.48 0.56, 0.63 < 0.001 0.58 0.28, 0.52 < 0.001 0.73 0.58 0.58, 0.90 0.006 0.58 0.45, 0.74 < 0.001 0.76 0.58, 0.99 0.042 0.65 0.49, 0.87	Fluoropyrimidine monotherapy			< 0.001	0.38	0.32, 0.47	< 0.001	9C.0	0.51, 0.68	< 0.001	0.58	0.49, 0.68	< 0.001
130  0.60  0.43, 0.82  0.002  0.52  0.37, 0.74  < 0.001  0.76  0.58, 0.99  0.042  0.65  0.61	Oxaliplatin combined therapy			< 0.001	0.38	0.28, 0.52	< 0.001	0.73	0.58, 0.91	0.006	9C.U	0.45, 0.74	< 0.001
	Others			0.002	0.52	0.37, 0.74	< 0.001	0.76	0.58, 0.99	0.042	0.65	0.49, 0.87	0.004

\*HRs were adjusted by age, sex, histologic type, stage, and Pre CEA. OS, overall survival; RFS, relapse-free survival.

(262)

Table 3. Multivariable analyses of	patients with colorectal cancer at st	age III $(N = 2,718)$

	e e					0		· · ·				
	(	OS (univaria	ble)	OS	Multivaria	ble)	R	FS (univaria	able)	RFS (I	Multivaria	ble)
Variable	HR	95% CI	P-value	Adj. HR	95% CI	P-value	HR	95% CI	P-value	Adj. HR	95% CI	P-value
Postoperative chemotherapy												
Fluoropyrimidine monotherapy	Refer	ence		Refere	nce		Refer	ence		Referen	nce	
Oxaliplatin combined therapy			< 0.001			0.380		1.22, 1.67	< 0.001			0.139
Age												
< 65	Refer	ence		Referen	nce		Refere	ence		Referen	nce	
$\geq 65$	1.25	1.07, 1.46	0.006	1.36	1.14, 1.62	< 0.001	0.96	0.85, 1.09	0.568	1.04	0.91, 1.18	0.611
Sex												
Male	Refer	ence		Referen			Refer			Referen		
Female	0.86	0.74, 1.01	0.068	0.83	0.70, 0.99	0.040	0.81	0.71, 0.92	< 0.001	0.79	0.69, 0.90	< 0.001
Colon/Rectum												
Colon	Refer	ence		Refere	nce		Refer	ence		Referen	nce	
Rectum		0.93, 1.27	0.311	1.21	1.02, 1.44	0.032	1.33	ence 1.17, 1.50	< 0.001	1.41	1.24, 1.62	< 0.001
Histologic Type												
well/mod	Refer	ence		Refere	nce		Refer	ence		Referen	nce	
por/muc/sig/others		1.63, 2.47	< 0.001	1.47	1.15, 1.88	0.002	1.51	1.26, 1.81	< 0.001	1.18	0.96, 1.45	0.110
Stage												
IIIa	Refer	ence		Refere	nce		Refer	ence		Referen	nce	
IIIb	2.30	1.65, 3.21	< 0.001	2.36	1.65, 3.38	< 0.001	2.67	2.05, 3.47	< 0.001	2.78	2.10, 3.68	< 0.001
IIIc	4.57	3.22, 6.49	< 0.001	4.45	3.03, 6.53	< 0.001	4.58	3.46, 6.06	< 0.001	4.38	3.23, 5.93	< 0.001
Pre CEA												
< 5 ng/mL	Refer	ence		Referen	nce		Refer	ence		Referen	nce	
$\geq 5 \text{ ng/mL}$	1.43	1.13, 1.79	0.002	1.19	0.92, 1.52	0.183	1.63	1.36, 1.95	< 0.001	1.39	1.14, 1.68	< 0.001

OS, overall survival; RFS, relapse-free survival, well, well differentiated tubular adenocarcinoma; mod, moderately differentiated adenocarcinoma; por, poorly differentiated adenocarcinoma; muc, mucinous adenocarcinoma; sig, signet-ring cell carcinoma; pre CEA, pre-serum carcinoembryonic antigen.

covariate adjustment were 94.4% and 96.8% at stage IIIa, 86.5% and 85.7% at stage IIIb, 78.9% and 67.2% at stage IIIc by Japanese classification, respectively (Supplemental Figure S2, *https://www.globalhealthmedicine.com/site/supplementaldata.html?ID=55*).

The 5-year OS rates associated with colon and rectal cancer after the covariate adjustment by regimens of adjuvant chemotherapy were 95.7% with fluoropyrimidines and 96.8% with oxaliplatincombined therapy at stage IIIA, 86.5% and 80.8% at stage IIIB, 72.1% and 70.7% at stage IIIC by the TNM classification, respectively (Figure 4). No additive effect of oxaliplatin was observed in stage III patients with any T, N1 or N2; this is consistent with results in the equivalent target population in the "MOSAIC" trial (Figure 5, Supplemental Figure S2, https://www. globalhealthmedicine.com/site/supplementaldata. html?ID=55). Fluoropyrimidine monotherapy was more effective than oxaliplatin-combined regimen in colon cancer patients with N1 (Supplemental Figure S2, https:// www.globalhealthmedicine.com/site/supplementaldata. html?ID=55). Oral capecitabine imparted statistically significant benefit in OS and RFS compared with oral UFT/ LV (Figure 6).

#### Discussion

Adjuvant fluoropyrimidine monotherapy and fluoropyrimidine plus oxaliplatin show comparable efficacy benefits for the treatment of stage III of Japanese colon cancer patients, supporting fluoropyrimidine alone as a standard option for the adjuvant therapy of stage III cancer in Japan. Thirty percent of stage III colorectal cancer patients did not receive any adjuvant chemotherapy. We recommend that elderly patients with other concomitant diseases should not be given adjuvant chemotherapy.

In the ACTS-CC02 study, S-1 plus oxaliplatin therapy (SOX) was not superior to UFT/LV in terms of OS and disease-free survival (DFS) in patients with high-risk stage III colon cancer of any T, N2, or positive nodes around the origin of the feeding arteries (18, 20). SOX was efficacious in patients with T4N2b disease. However, oxaliplatin-combined regimen was not superior to fluoropyrimidines in our real-world data even in the T4 population with or without N2b. The mean relative dose intensity (RDI) was 83.1% for UFT, 74.9% for S-1 and 73.6% for oxaliplatin in SOX in ACTS-CC02 (20). The RDI of S-1 in SOX therapy was similar to the 76.5% of RDI with S-1 monotherapy and 76.0% with UFT in ACTS-CC study, which showed the non-inferiority of S-1 to UFT/LV for stage III colon cancer (16). Therefore, oxaliplatin did not significantly reduce the RDI of S-1 in the SOX group. The additive effect of oxaliplatin was not shown in the unique randomized controlled trial of ACTS-CC02 in Japan.

ACHIEVE was a Japanese phase III trial that explored whether 3 months of adjuvant FOLFOX or CapeOX therapy was non-inferior to 6 months of treatment in patients with stage III colon cancer (24-26). The 5-year OS rates were 79.8% in the 3-months oxaliplatincombined regimen group and 79.8% in the 6-month group in high-risk disease patients with T4 or N2 (26). Patients with CAPOX were significantly more likely to develop adverse events of grade 3 or more when they had a baseline creatinine clearance (CCr) less than 50 mL/

Table 4. Frequency of adjuvant chemotherapy	nt chemotherapy								
	Male $N = 3,846$	Female $N = 2,988$		<i>P</i> -value <sup>*</sup>	Age: $\leq 59$ N = 1,674	Age: $60-69$ N = 2,234	Age: $70-79$ N = 1,973	Age: $\geq 80$ N = 953	<i>P</i> -value <sup>*</sup>
Treatment No adjuvant chemotherapy Fluoropyrimidine monotherapy Oxaliplatin combined therapy Others	$\begin{array}{c} 1,155 \ (36.7\%) \\ 1,485 \ (47.2\%) \\ 309 \ (9.8\%) \\ 195 \ (6.2\%) \end{array}$	888 (36.1%) 1,234 (50.1%) 205 (8.3%) 134 (5.4%)		0.063	261 (19.7%) 761 (57.5%) 210 (15.9%) 92 (6.9%)	464 (25.5%) 1,063 (58.5%) 181 (10.0%) 110 (6.1%)	646 (39.3%) 779 (47.4%) 117 (7.1%) 103 (6.3%)	672 (82.2%) 116 (14.2%) 6 (0.7%) 24 (2.9%)	< 0.001
	Colon $N = 3,803$	Rectum $N = 2,864$		<i>P</i> -value <sup>*</sup>	T1-2 $N = 1,076$	T3 $N = 3,786$	$\begin{array}{c} {\rm T4} \\ N=1,842 \end{array}$		<i>P</i> -value <sup>*</sup>
Treatment No adjuvant chemotherapy Fluoropyrimidine monotherapy Oxaliplatin combined therapy Others	$\begin{array}{c} 1,203 \ (38.0\%) \\ 1,552 \ (49.1\%) \\ 252 \ (8.0\%) \\ 156 \ (4.9\%) \end{array}$	789 (33.9%) 1,124 (48.3%) 251 (10.8%) 163 (7.0%)		< 0.001	296 (34.4%) 470 (54.6%) 52 (6.0%) 43 (5.0%)	$\begin{array}{c} 1,162 \ (37.3\%) \\ 1,501 \ (48.2\%) \\ 284 \ (9.1\%) \\ 169 \ (5.4\%) \end{array}$	534 (35.0%) 717 (47.0%) 169 (11.1%) 107 (7.0%)		< 0.001
	N1 N = 4,235	N2a N = 992	N2b N = 637	<i>P</i> -value <sup>*</sup>		$\underset{N=827}{\text{IIIa}}$	IIIb $N = 3,842$	$\underset{N=1,020}{\text{IIIc}}$	P-value*
Treatment No adjuvant chemotherapy Fluoropyrimidine monotherapy Oxaliplatin combined therapy Others	$\begin{array}{c} 1,339 \ (36.7\%) \\ 1,379 \ (51.4\%) \\ 226 \ (6.2\%) \\ 209 \ (5.7\%) \end{array}$	232 (27.8%) 428 (51.2%) 127 (15.2%) 49 (5.9%)	$146 (26.6\%) \\ 228 (41.5\%) \\ 135 (24.6\%) \\ 40 (7.3\%)$	< 0.001		238 (33.7%) 400 (56.7%) 32 (4.5%) 36 (5.1%)	1,185 (35.9%) 1,675 (50.7%) 250 (7.6%) 192 (5.8%)	266 (30.5%) 382 (43.9%) 164 (18.8%) 59 (6.8%)	< 0.001
*Pearson's Chi-squared test.									



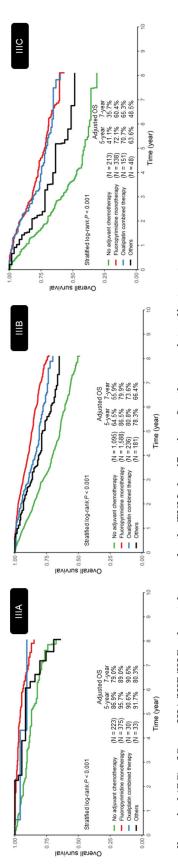
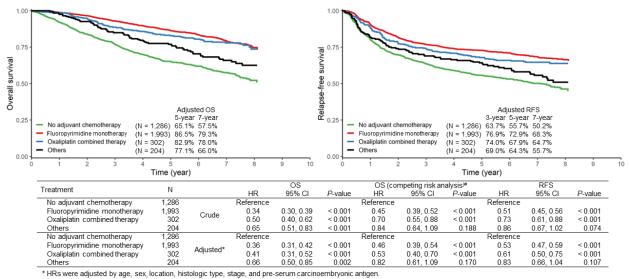
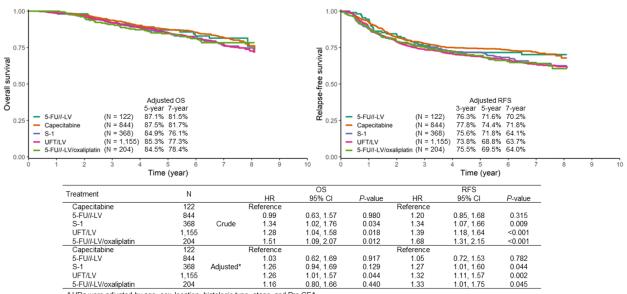


Figure 4. Overall survival (OS) of Stage IIIA/IIIB/IIIC colorectal cancer by the TNM classification after the covariate adjustment.



# Death due to other diseases was treated as a competing risk event, and the Fine-Gray model was used for analysis.

Figure 5. Overall survival (OS) and relapse-free survival (RFS) at stage III colon cancer with any T, N1 or N2 by the TNM classification.



\* HRs were adjusted by age, sex, location, histologic type, stage, and Pre CEA

**Figure 6. Overall survival (OS) and relapse-free survival (RFS) of stage III colorectal cancer by regimen.** 5-FU, 5-fluorouracil; *l*-LV, *l*-leucovorin; UFT, tegafur-uracil; LV, leucovorin.

min (24). Based on this, we amended the protocol and added a CCr of more than 30 mL/min to the eligibility criteria; the CapeOX regimen was then initiated with a decreased dose of capecitabine of 1,500 mg/m<sup>2</sup> from 2,000 mg/m<sup>2</sup> per day in patients with a CCr of 30 to 50 mL/ min and/or who were > 70 years old. The combination with oxaliplatin compromised the maximum tolerated dose of capecitabine due to toxicity. Therefore, caution should be exercised when interpreting the results of this clinical trial, particularly those related to the combination of oxaliplatin as adjuvant chemotherapy. We observed a significant efficacy of fluoropyrimidine monotherapy in this Japanese real-world study. Capecitabine was superior to S-1 for stage III patients in the previous JCOG0910 study (21). This drug also seemed to be more effective than UFT/LV, S-1, 5-FU/ *l*-LV/ oxaliplatin therapy in our current study, which used real world data (Figure 6).

Based on the results of previous adjuvant trials, oxaliplatin-combined regimens are considered standard adjuvant chemotherapy for patients with stage III colon cancer especially in Western countries. On the other hand, fluoropyrimidine monotherapies elicit favorable results when used as adjuvant chemotherapy in Japan, and are comparable to oxaliplatin-combined regimens that are used in Western countries. There are two factors that may contribute to a better outcome: D3 lymph node dissection and thorough pathological examinations (28-30). Standard colon cancer surgery in the West employs resection of a long portion of the colon with limited central lymph node dissection (31). On the other hand, in Japan, resection of a shorter section of the colon is accompanied by extensive lymph node dissection in the central direction (D3 lymph node dissection) (32). Therefore, the physical location of the dissected lymph nodes is quite different in the two geographic locations, even if the number of dissected lymph nodes is similar (33). In the West, lymph nodes are removed from excised specimens, fixed with formalin and submitted for pathological examination. However, in Japan lymph nodes are removed from fresh excised specimens before formalin fixation and submitted for pathological examination after formalin fixation. Studies of large numbers of colon cancer patients from Japan and the United States revealed that 12 or more lymph nodes were examined in 68% and 37% of patients, respectively (34,35). Such differences in the frequency with which a given number of lymph nodes are examined may contribute to stage migration. Therefore, extrapolating the results from trials of adjuvant chemotherapy in Europe and the United States to the situation in Japan is not appropriate. Rather, clinical evidence based on Japanese surgery and pathological examination data should be used to guide treatment of patients in Japan.

In conclusion, adjuvant chemotherapy using fluoropyrimidines without oxaliplatin for stage III colorectal cancer elicited a significant effect on OS. Fluoropyrimidine monotherapy should be chosen for many Japanese colorectal cancer patients based on our analysis of real-world big data. Optimal chemotherapy in the adjuvant setting for colorectal cancer should therefore be chosen based on the medical environment in each country.

# Acknowledgements

We wish to thank all the patients and clinicians who participated in this study. Presented in part at the annual meeting of American Society of Clinical Oncology, June 3-7, 2022.

*Funding*: This study was funded by a JSCCR research grant for the Multi-Institutional Registry of Large Bowel Cancer in Japan.

*Conflict of Interest*: YY has received research funding from Chugai, and honoraria from Janssen, Taiho, Behringer-Ingelheim, and Ono. HK has received honoraria from Taiho, Shionogi, Eli Lilly, Chugai, Kaken Pharmaceutical, Covidien Japan, Merck Biopharma. KN has received honoraria from SENJU Pharmaceutical, Toray Industries, and Pfizer R&D Japan, and an advisory role for Fujimoto Pharmaceutical. KS has received honoraria from Taiho, Chugai, Takeda, and Merck Biopharma.

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Received June 22, 2022; Revised August 15, 2022; Accepted August 30, 2022.

Released online in J-STAGE as advance publication September 23, 2022.

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